

RECONCILIATION OF SHARE CAPITAL AUDIT			
Scrip code*	522036		
NSE Symbol			
MSEI Symbol			
ISIN	INE338P01014		
Name of the company*	MIVEN MACHINE TOOLS LIMITED		
Registered office address			
Registered office address*	Tarihal Industrial Area, Tarihal Hubli-580026 Karnataka		
Registered office state*	KARNATAKA		
Registered office city*	HUBLI		
Registered office district*	HUBLI		
Registered office pin code*	580026		
Registered office contact number*	ISD Code*	STD Code*	Number*
	91	0836	2212221
Registered office fax		0836	2310411
Registered office country*	INDIA		
Registered office website			
Registered office email	mmtsecretarial@gmail.com		
Correspondence address			
Same as above	Yes		
Correspondence address	Tarihal Industrial Area, Tarihal Hubli-580026 Karnataka		
Correspondence state	KARNATAKA		
Correspondence city	HUBLI		
Correspondence district	HUBLI		
Correspondence pin code	580026		
Correspondence contact number	ISD Code	STD Code	Number
	91	0836	2212221
Correspondence fax		0836	2310411
Correspondence country	INDIA		
Correspondence email	mmtsecretarial@gmail.com		
Reporting quarter*	31-12-2018		
Face value*	10		

Stock Exchange Details :	Name of stock Exchange	Listed Capital	% Of total issued capital
Name of other stock exchanges where the company's securities are listed	BSE Ltd	3003500	100
Remarks			

Capital Details :		
	Number of shares	% Of total issued capital
Issued capital*	3003500	
Listed capital (BSE) (As per company records)*	3003500	100
Held in dematerialised form in CDSL*	161291	5.37
Held in dematerialised form in NSDL*	2502910	83.33
Physical*	339299	11.3
Total no.of shares*	3003500	100
Reasons for difference if any, Between issued capital and listed capital*	0	
Reasons for difference if any, Between issued capital and total number of shares*	0	
Reasons for difference if any, Between listed capital and total number of shares*	0	

Certifying the details of changes in share capital during the quarter under consideration as per Table below :	
Whether changes during the quarter*	No

Register of members is updated*	Yes
If not, Updated upto which date	
Reference of previous quarter with regards to excess dematerialised shares,If any.	
Has the company resolved the matter (excess dematerialised shares mentioned above) in the current quarter ?*	NA
If not, Reason why ?	

Mentioned the total no.of requests, If any, confirmed after 21 days and the total no.of requests pending beyond 21 days with the reasons for delay			
Total no.of demat requests	No.of requests*	No.of shares*	Reasons for delay
Confirmed after 21 days*	0	0	0
Pending for more than 21 days*	0	0	0
Remarks			

Compliance Officer Details	
Whether Qualified Company Secretary is Compliance Officer *	Yes
Name of the compliance officer*	ARPITA RAHUL DHAKANE
Date of Appointment *	25-05-2018
Designation*	COMPANY SECRETARY AND COMPLIANCE OFFICER
Membership Nos	54201
Telephone no.*	0836-2212221
Fax no.	0836-2310411
E-mail id*	mmtsecretarial@gmail.com
Whether any change in Compliance Officer during the quarter *	No
Whether the previous Compliance Officer was Qualified Company Secretary *	Yes

Details of Previous Compliance Officer	
Previous Compliance Officer Name	
Membership Nos	
Date of Appointment	
Date of Cessation	

Certifying Auditor Details	
CA/CS*	Company secretary
Name of certifying auditor*	Mr. Umesh P. Maskeri
Date of issue of report*	10-01-2019
Address*	304, Geetanjali Heights, Plot No 100 Sector 27, Nerul East, Navi Mumbai-400706
City*	NERUL
Pincode*	400706
Contact no.*	022 -27716919
Fax no.	022-22663912
Email	
Membership no.*	4831
Firms registration number of audit firm	
Name of the firm*	Mr. Umesh P. Maskeri
COP number*	12704

Registrar and Share Transfer Agent Details	
Appointment of common agency for share registry work*	Yes
Name of RTA	BgSE Financials Limited
SEBI registration no.	INZ000095638
Address	Stock Exchange Towers, 51, J C Road Bangalore-560027
State	Karnataka
City	Bangalore
Pincode	560027
Contact no.	080-41575234
Fax number of RTA	080-1575232
E-mail id	bfsl@bfsl.co.in
Website Address	www.bfsl.co.in
Whether any change in Registrar and Share Transfer Agents *	No
Previous Registrar and Share Transfer Agents Name	
Date of Cessation	
Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE)	Textual Information(1)

Text Block	
Textual Information(1)	<p>1. The Securities and Exchange Board of India (SEBI) revoked the restrictions imposed on the Company, Directors and Promoters</p> <p>The promoters of the company completed the Offer for Sale through the secondary market mechanism of BSE Limited and sold the excess holding of 3,36,050 equity shares of Rs 10 each constituting 11.19 % of the shareholding on September 29, 2015. As a result, the Company and the promoters have increased the level of the public shareholding from 13.81 % to 25 % and thus complied with the Minimum Public Shareholding (MPS) norms on October 1, 2015, in accordance with Rule 19(2)(b) and 19 A of the Securities Contract (Regulation) Rules, 1957 and Regulation 38 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. After the personal hearing before the Whole Time Member on January 20, 2016, SEBI noted the compliance status of the Company with the Minimum Public Shareholding (MPS) Requirements on October 1, 2015 and passed orders on April 6, 2016 revoking the restrictions imposed on the company, promoters and directors vide para 17 (a), (c) and (d) of its order dated June 4, 2013.</p> <p>2. Payment of penalty upon conclusion of SEBI Adjudication Proceedings:</p>

Since there was a delay in compliance with MPS requirements, SEBI initiated adjudication proceedings and imposed a penalty of Rs 10 lakhs vide its order No ORDER/BS/HS/2018-19/773 dated June 25, 2018. Company has remitted the penalty of Rs 10 lakhs on July 4, 2018 to SEBI .

3. Shares held in demat form

The company has achieved the following milestones relating to dematerialization and has complied with the requirements of SEBI LODR and BSE :

- a) The Shares of the Company have been admitted for dematerialisation in both the depositories i.e. CDSL and NSDL
- b) 100 % of the Equity shares held by the promoters is in demat form
- c) The level of shares held by the public shareholders in demat form has reached 13.70 % and thus has exceeded 50 % of the shareholding of the public shareholders.

4. Moving of the scrip to P Group i.e. physical trading segment by BSE:

It is seen that the shares of the company have been shifted to P group (Physical trading segment) by the BSE. As per Notice No. 20181030-34 dated October 30, 2018, issued by the BSE, companies which have signed with any of the depositories would continue to be available for trading in Group P and ZP, current market lot and settlement of trade obligations has to be compulsorily settled only in Demat mode as stated in para(1) of the aforesaid notice. However, from the records of the Company, it is observed that the company has entered into tripartite agreement with (1) NSDL and BgSE Financials Limited, the Registrar and Transfer Agent (RTA) on August 14, 2013 and (2) CDSL and BgSE Financials Limited, the Registrar and Transfer Agent (RTA) on August 14, 2013 respectively. Further, NSDL has issued the ISIN activation letter vide its letter No. NSDL/II/SM/JNG/13161/2013 dated August 19, 2013 and CDSL has issued the ISIN activation letter vide its letter No. CDSL/SAC/SAK/EQ/12570 dated September 18, 2015. Company has contacted BSE and is in the process of submitting the documents sought by BSE for the purpose of moving the companys scrip from physical trading segment to demat segment as well as to update ISIN No and change of Market Lot.